

FICA REQUIREMENTS FOR PENSION, PROVIDENT OR RETIREMENT ANNUITY FUNDS

You will need to provide us with specific supporting documentation to FICA verify a Custody and Settlement Account for a Pension, Provident or Retirement Annuity Fund

Pension Funds, Provident Funds and Retirement Annuity Funds are regarded as low-risk clients for purposes of FICA. However, for administrative purposes; we still require proof of authorised signatories/persons who act on behalf of the fund and verification of banking details.

Required Documentation:

- 1 A certified copy of a board minute or resolution detailing persons authorised to act on behalf of the Fund. Please appoint a principal trustee or officer to act on behalf of the fund for share dealing purposes
- 2 A certified copy of the Certificate of Registration issued by the Registrar of Pension Funds (FSCA) or other founding documentation
- 3 A list of authorised signatories or trustees together with specimen signatures on a company letterhead
- 4 Certified copies of the identity documents of the authorised signatories or trustees
- 5 A certified copy of the bank statement to verify the bank account details. Alternatively, we will accept a signed and stamped letter from the bank confirming the bank account details. *Please note that third party banking details will not be accepted*
- 6 Completed and signed Dividends Tax Beneficial Owner Declaration of Status form
- 7 Completed and signed FATCA/CRS form
- 8 A signed copy of our fee schedule to confirm you have accepted our fees
- 9 If you have not already provided us with a signed Custody and Settlement Agreement, please include this with the documentation

Computershare (Pty) Ltd is an authorised Financial Services Provider and Participant regulated by the Financial Sector Conduct Authority and Strate Proprietary Limited.

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